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Page 1 of 1 #3

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/012,215	10/19/2001	K. Ito	SCEY 19.080

026304  
ROSENMAN & COLIN LLP  
575 MADISON AVENUE  
NEW YORK, NY 10022-2585

CONFIRMATION NO. 5361

## FORMALITIES LETTER



\*OC000000007284137\*

Date Mailed: 01/09/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

03/25/2002 SSALEEKU 00000010 501290 10012215

01 FC:101 740.00 CH  
02 FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Inventor : Katsushi ITO, et al.  
Serial No : 10/012,215  
Filed : October 19, 2001  
Title : DISK DRIVE APPARATUS  
Group Art Unit :

February 27, 2002

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

To the Commissioner for Patents:

We have received a Notice to File Missing Parts of Application dated January 9, 2002, a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Return post card;
- ☒ 3. Copy of Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct. No. 50-1290.

Respectfully submitted,

[X] Samson Helfgott  
Reg. No.: 23,072

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FEBRUARY 27, 2002  
Docket No.: 100809-16314 (SCEY 19.080)  
ejh:Mispart.

ANY FEE DUE WITH THIS PAPER, NOT FULLY  
COVERED BY AN ENCLOSED CHECK, MAY BE  
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Filed by Express Mail  
(Receipt No. EL 895 0892064)  
on Feb 27, 2002  
pursuant to 37 C.F.R. 1.10.  
by [Signature]